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FACSIMILE TRANSMITTAL to the U.S. Patent Office

ΔPR 2 2007

**GROUP 1600** 

DATE: April 1, 2003

TO:

Group 1600

FAX NO.:

703-872-9306

FROM:

John B. Alexander, Ph.D.

**FAX NO.:** 

617-439-4170

OFFICIA

Serial No.

10/047,835

Atty. Docket No. 56868 (71987)

Filed On: January 14, 2003

Pages: 13 including cover

# MESSAGE:

Enclosed please find an Amendment Response in connection with U.S. Serial No. 10/047,835.

Please contact me if you have any questions, or if I can be of any further assistance.

Best Regards,

John B. Alexander, Ph.D. (Reg. No 48,399)

#### NOTICE

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SHORT HILLS

Practitioner's Docket No. \_\_\_\_56868 (71987) PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: S.C. Chou et al.

Application No.: 10/047,835

Group No.: 1625

Filed: January 14, 2003

Examiner: T.V. Oh

For: METHOD FOR RECOVERING AND PRODUCING C4-C6 DICARBOXYLATE FROM ALALINE

WASTE SOLUTION GENERATED IN CAPROLACTAM

**Assistant Commissioner for Patents** Washington, D.C. 20231

# AMENDMENT TRANSMITTAL

Transmitted herewith is a Request for Reconsideration for this application. ı.

#### **STATUS**

2. Applicant is a small entity. A statement: []is attached. was already filed. [] [X] other than a small entity.

# **EXTENSION OF TERM**

"Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a NOTE: Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

# CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

[X]

I hereby certify that, on the date shown below, this correspondence is being:

#### MAILING

[] deposited with the United States Postal Service with sufficient postage by First Class Mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

## **FACSIMILE**

transmitted by facsimile to the Patent and Trademark Office at (703) 872-9306.

(type or print name of person certifying)

(Amendment Transmittal-page 1 of 4)

Date April 1, 2003

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

See 37 C.F.R. Section 1.645 for extensions of time in interference proceedings, and 37 C.F.R. Section 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. Section 1.136 apply.

(complete (a) or (b), as applicable)

Applicant petitions for an extension of time under 37 C.F.R. Section 1.136 (a) [] (fees: 37 C.F.R. Section 1.17(a)(1)-(4)) for the total number of months checked below:

[]	Extension (months) one month two months three months four months	Fee for other than small entity \$ 110.00 \$ 400.00 \$ 920.00 \$ 1,440.00	Fee for small entity \$ 55.00 \$ 200.00 \$ 460.00 \$ 720.00
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Fee: 20.02

\$0.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

[]	An extension for months has already been secured. The fee paid therefor of \$ is deducted from the total fee due for the total months of extensio requested.	n now
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Extension fee due with this request

()R

(b) Applicant believes that no extension of term is required. However, this conditional [X] petition is being made to provide for the possibility that applicant has madvertently overlooked the need for a petition for extension of time.

(Amendment Transmittal-page 2 of 4)

FE:	E F	<b>OR</b>	CL	AT	MS

4. The fee for claims (37 C.F.R. Section 1.16(b)-(d)) has been calculated as shown below

(Col.1)	(Col. 2)  Highest No. Previously Paid For *		SMALL ENTITY				OTHER THAN A SMALL ENTITY	
Remaining After Amendment		Present Extra	Rate	Addit. Fee	OR	Rate	Addit. Fee	
*		*	\$9.00	\$0.00		\$18.00	\$0.00	
Independent Claims *			\$42.00	\$0.00		\$84.00	\$0.00	
First Presentation of	Multiple Dependent	Claim+	\$140.00	\$0.00		\$280.00	\$0.00	
						Total Addit. Fee	\$0.00	

<sup>\*</sup> If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,

WARNING:

"After final rejection or action (Section 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. Section 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) [X] No additional fee for claims is required.

OR

(d) [] Total additional fee for claims required \$\_\_\_\_\_\_

FEE PAYMENT

5. [] Attached is a check in the sum of \$ \_\_\_\_\_

[] Charge Account No. \_\_\_\_\_\_ the sum of \$ \_\_\_\_\_

A duplicate of this transmittal is attached.

(Amendment Transmittal-page 3 of 4)

<sup>\*\*</sup> If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

## FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. [X] If any additional extension and/or fee is required, charge Account No. 04-1105.

### AND/OR

[X] If any additional fee for claims is required, charge Account No. 04-1105

Date: April 1, 2003

SIGNATURE OF PRACTITIONER

John B. Alexander, Ph.D. (Reg. No. 48, 399)

(type or print name of practitioner)

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